Thermal Infrared Systems Lecturer: B T Yang 楊丙邨 March 2005 NTU



Lecture Outline

- 1. Phenomenology: "What is"
- 2. Optics
- 3. IR Detectors: Thermal, PC, PV
- 4. IR Detector Circuitry and Noises
- 5. IR Systems and Applications

Typical IR System



IR is Never Complete without Introducing the "Blackbody"



"Black" means No Light is Reflected, but "Light" can be emitted!

Grooved Planar Black Body Source

• "Grooved surface enhancing the emissivity



Absolute Blackbody Radiance Calibration Standard: Metal Freeze Temperature

Pure Metal	Freeze Temp* (°C)	Pure Metal	Freeze Temp* (°©)
Gallium	29.7646**	Aluminum	660.323
Indium	156.5985	Silver	961.78
Tin	231.928	Gold	1064.18
Zinc	419.527	Copper	1084.62

Definition of a Black Body

- A blackbody absorbs all incident radiation; r=0
- At a given temperature, no surface can emit more energy than a blackbody
- A blackbody is a "diffuse" emitter that follows the "Lambertian Laws"

Lambertian Law

• Specular Surface (reflective)

Lambertian Surface (diffuse surface)





The beginning of Infrared Infra= Ln. below

 In 1800, Sir William Herschel, using a prism to spread sunlight, observed the heating "beyond the red end" of the visible light spectrum



IR: Heat?

- A known effect of infrared light on skin is dilation of blood vessels that transport blood to and from the skin for cooling=> sensation of heat!
- According to Kirchhoff Law, if r=0
 ε(absorptivity)=σ(emissivity)
- Since skin is a good IR emitter then it must be a good IR absorber!

Light: An Electromagnetic wave



The Electromagnetic Spectrum



IR Frequency and Energy

- Frequencies: $.003x10^{14}$ to 4.3×10^{14} Hz
- Wavelengths: 1 mm 0.7 μ m
- Quantum energies: 0.0012 1.16 eV

Planck's Equation

- M_{λ} : Spectral Exitance [W·CM⁻² · μ m⁻¹]
- λ : wavelength [μ m]
- T: absolute temperature [K]
- h= Planck's constant =6.63x10⁻³⁴ W sec²
- C= $3x10^{14} \mu$ m /sec

Spectral exitance of a blackbody



Wien's Law



Stefan-Boltzmann's Equation of Radiation

- M(T)= $\int M_{\lambda}(\lambda, T) d\lambda = \sigma T^4 [W \cdot cm^{-2}]$
- M(T): Exitance (not Spectral Exitance)
- σ : Stefan-Boltzmann's constant 5.67x10⁻¹² W · cm⁻² · K⁻⁴



Grey Body?

- When emissivity $\boldsymbol{\epsilon}$ is not unity
- Most physical surfaces are grey bodies
 ε_{skin} ~ 0.95, then it must be "Approximated as a Blackbody
 - $M_{\lambda} = \varepsilon M_{\lambda}$ $M = \varepsilon \sigma T^{4}$

Atmospheric Transmission Spectra

For Ref:

NIR: 0.7-1.1 μm; SWIR:1.1-3.0 μm; MΩIP:3–5 μμ; LWIR: 8-14 μm; VLIR: > 14 μm



Infrared Interactions

http://hyperphysics.phy-astr.gsu.edu/hbase/mod3.html#c3

The result of infrared absorption is heating of the tissue since it increases molecular vibrational activity..



Discrete Energy State

• Planck's 1900's "lucky Guess" $\Delta E = h_V$



Photo-Electric Effect

• Eienstein 1905's Paper Confirming the Discret Energy



Visible Spectral Range

• Visible Band: 400nm to 700nm



Eye's Cones' (3) and Rods' Responses

- Rods for night vision (more sensitive)
- Cones for color day vision



"Color" Temperature

An Apparent Visible Color of a Blackbody at T



Night Goggles are "not" true Thermal Images

• Night Goggle Images are "Reflected NIR Images", not "Emitted Thermal images"



Many Low-Cost Low-Light Detection Systems are NIR Systems

"Near IR Wavelength Used for Optical Communications

"Single mode fiber" single path through the fiber

Spectral Attenuation (typical fiber):





Human Thermal Images

 http://www.ir55.com/infrared_IR_camera.h tml





PC Board Localized Heating





Localized IC Chip Detection





Burglar Detection









Underside Celeron Chip



SARS Temperature Screening



Preventive Maintenance

• Electrical Fuse Thermal Image



Thermal Management



Defense Applications




Sky Surveillance

Collision Prevention



Weather Monitoring

Geosynchronous Weather Satellite Application



What "Limits" Your Measurements?

1. Spatial (How Small an Area Can the System Resolved?):

Optics

- 2. Temporal (How Fast Can the System Do?): Detector and Electronics Responses
- 3. Resolution of the System (What is the Samllest Temperature the System can resolve?):

NEP

Solid Angle Concept



object (A)

Radiance L

 Radiance is Defined as the Power per Unit Area per Steradian(Sr)

L[W m⁻² Sr⁻¹]=M(T)/ π

Solar Constant K_{solar}(Example)

- Solar disk "subtends" 1/2°(or 9 mRadian) in view, the solar constant is the total Radiance Power per unit area
- Since the Radiance is
 L=1/π M(6000K)=(σ/π)x6000⁴=2.34x10⁷W · m⁻² Sr⁻¹
- The solid angle of the sun is
- Ω=(π/4)(0.009/2)²~6.4x10⁻⁵ Sr
- The Solar Constant is then:
- $K_{solar} = L \cdot \Omega \sim 1.5 \text{ KW/M}^2$
- σ : Stefan-Boltzmann's constant 5.67x10⁻⁸ W · m-2 · K⁻⁴

Equilibrium Temperature Concept

- The Total Power Absorbed by a 1M² Plate Perpendicular to Sun Rays is a Solar Constant K_{solar} of 1.5KW
- The Radiated Power is
- The Equilibrium Thermodynamic Condition Stipulates:
- $\sigma T_{\text{plate}}^{4} = K_{\text{solar}}$
- T_{plate}=(1500/ *σ*)^{1/4}~403K=130°C

How to Manipulate the Equilibrium Temperature T_{equi}

- By varying Surfaces Solar Absorption Coefficient α and ε
- For α of 0.2 and ε of 0.9, T_{equi}~277K=>4°C!
- $\alpha K_{solar} = \varepsilon \sigma T_{plate}^{4}$

$$T_{equi} = \sqrt[4]{\frac{\alpha K_{solar}}{\mathcal{E}\sigma}}$$

•For α of 0.2 and ε of 0.9, T_{equi}~277K=>4°C!

 $= \sqrt[4]{\frac{solar}{\epsilon\sigma}}$

Why is a Metal Surface so Warm in the Sun?

Polished Metal Surfaces have low α and ε

Assume $\alpha = \varepsilon = 0.2$



• σ : Stefan-Boltzmann's constant 5.67x10⁻⁸ W · m-2 · K⁻⁴

Does "Absolute Temperature" Have to Do with Heat Transfer?

- Conduction
- $\Delta Q \sim \Delta T$
- Convection
- $\Delta Q \sim \Delta T^{n;} n \neq 1$
- Radiation

 $\Delta Q \sim \Delta (T_1^4 - T_2^4)$

Radiative Heat Transfer is the Only Form of Heat Transfer that requires Absolute Temperature instead of Temperature Difference

Scattering of Sunlight by the Earth-Atmosphere-Surface System



Atmospheric Transmission and Greenhouse Effects

http://tbrs.arizona.edu/education/553-2004/2004/Lect083104_Ch2.ppt-link.ppt#21



BK-7 Transmission Curve

- Most Plate Glass, Similar to BK7
- Plate Glass is Opaque to LWIR



Fuse Silica (quartz) Transmission



http://www.mollocariot.com/products/optics/mp. 2.2.htm

Why is the Interior of a Car so Warm in the Sun?

 Sun(6000K) warms a car with all wavelengths, but the interior of the car (300K-400K) emits IR that can not pass through the glass.

So How Does a Space Suit Work in the Sun?

• By a "Secondary Mirror" Surface!



Metallic Surface to reflect Most the Visible Lights

Si and Ge IR Transmissions



ZnSe Transmission

http://www.almazoptics.com/ZnSe.html



Regardless the "skin tone" difference, all men are equal in Infrared

• Yes, about 0.98; almost black!

What is an Aural Thermometer", or Infrared Aural sensor

- Tympanic cavity as a blackbody cavity
- Emissivity~1.00
- Readily calibrated
- **Must be in a cavity!!





The Infamous SAR Fighter: Ear Cavity Thermometer

- a clinically reliable indicator of body core temperature
- Pyro-Electric
 Transducer



Electron Thermal Energy: Why IR Detectors Must be Cooled!





kinetic energy

NEP Concept

- If we use the entire spectrum, then to detect 38°C (vs. 37 °C), the difference is [(38 +273)/(37 + 273)]⁴ = 1.013%
- So to resolve 1°C the "system" must be able to resolve 1.3% difference
- =>Noise Equivalent Power or NEP

How good is my System Stacking Against the Others?

 $D^*=(A_{det} \Delta f)^{1/2}/NEP$

Pyro-electric Detectors

- Pyro: Gk "Fire"
- Pyro-electric: electrical output caused by heat
- Sometimes used for "fiery sparks" display for stage effects
- Low sensitivity, low cost
- Usually for intrusion detection only

Pyro-electric Detector polyethylene Fresnel lens are typically used for their low costs TGS (Tri-glicine-sulfate)



http://www.fuji-piezo.com/TechGen.htm

$PV Hg_xC_{1-x}Te$

- Short for "photo-voltaic Mer-Cad-Telluride",,or, "Mer-Cad"
- Chemical compound of HgTe and CaTe
- Response ranging from 1µm to 5.5µm, and 8µm up to 13µm, depending on the Hg to Cd ratio
- Most versatile IR detector

PC HgCTe

- Response to 18 microns
- Intrinsic Detectors
- Need "chopping"
- Response varying with temperature
- Operative in higher temperature than PV

Thermal Transducer is "Export Control" Items

 InSb, HgCdTe, and room-temperature Thermal-pile Focal Plane Arrays (FPA) are all "Strategically sensitive" items

References

- Electro-Optics by Lewis J Pinson, John Wiley & Sons, Inc., (1985)
- Modern Physics by Serway, Moses, and Moyer, Saunders College Publishing, 1997
- Optical Radiation Detectors by Dereniak and Crowe, John Wiley and Sons
- Infrared Handbook by Wolfe etc., Environmental Research Institute of Michigan

Normalized Blackbody Equation

FRACTION OF TOTAL ENERGY EMITTED BELOW A

At T=6000K, λ max=0.5 µm Since 0.4< $\lambda_{vis(}\mu$ m<0.7 or 0.8 < λ / λ_{max} < 1.4 So % visible energy is 0.45-0.1=0.35 or 35% of the total energy At 3000K, the visible energy ~7%



Homework(1)

- At the Daper point (800K), a blackbody begins to be visible, computer the visible exitance
- A 1-cm thick,1m square plate has one side perpendicular to the sun and a conductivity of 0.01 W/m/K. If the emissivities of both surfaces are all 1/5.67, and the shodow side of the surface temperature is 300K, compute the solar absorptivity of the surface facing the Sun
- Prove the blackbody equation can be normalized as M $_\lambda$ / M $_{\lambda,\,max}$ vs. λ / $\lambda_{\,max}$
- Compute the percentage increase in visible energy for a 3000K blackbody to 3400K (incandescent tungsten to halogen)



Radiometry Identity $a_d \omega = A \Omega$ $\frac{a_d}{f^2} = \frac{A}{H^2}$



Multiplying both sides by $\pi d^2/4$ yields

$$\frac{\pi d^2}{4} \frac{a_d}{f^2} = \frac{\pi d^2}{4} \frac{A}{H^2}$$

Since $\omega = \pi d^2/4f^2$ and $\Omega = pd^2/4f^2$ Thus

 $\mathbf{a}_{\mathbf{d}}\omega = \mathbf{A} \Omega$

Optical Power on a Detector

• The Optical Power Falling on a Detector is:

$$= T_{opt} \cdot L[W Sr^{-1} m^{2} \mu m] \cdot \Omega A \cdot \Delta \lambda$$

Substituting the Radiometry Identity yields:
$$= T_{opt} \cdot L \cdot \omega a_{det} \cdot \Delta \lambda$$
$$\pi$$

$$= \mathbf{T}_{opt} \cdot \mathbf{L} \cdot \frac{\pi}{4 \cdot (F/\#)^2} \mathbf{a}_{det} \cdot \Delta \lambda$$

Since

$$\varpi = \frac{\pi d^2}{4f^2} = \frac{\pi}{4(f/d)^2} = \frac{\pi}{4 \cdot (F/\#)^2}$$



Detector Responsivity

 An Ideal Detector Generates one e- for every Photon absorbed:

$$R_{ideal} = \frac{q}{h\nu} = \frac{q\lambda}{hc} \approx 0.8 \bullet \lambda [A/W]$$

An Actual Detector Responsivity is: R[A/W]= η R_{ideal}= 0.8 η λ

q=1.6x10⁻¹⁹ Amp-sec Note: λ in μ m
Theoretical Detector Output (TIA)

• I_{det}=R • P



Theoretical Detector Output (CTIA)





TIA Impedance Transfer Function



Frequency Response of Z_f

Since $\omega = 2 \pi$ f, and $f_{3db} = 1/(2 \pi R_f C_f)$ $|Z_f| = Rf/\sqrt{2} = 0.707 R_f @ f_{-3dB} = 1/(2 \pi R_f C_f)$ dB=20 log (0.707)= -3 dB



Why is that Detector Impedance needs to be High





Chopping is Essential for "Drifting Signal"

- Chopping Effectively "De-couples" the Slow Drifting "1/f" Noise (including D.C. Level)
- In CCD, A "Correlated Double Sampling" is Used to Eliminate Drif



Poisson and Gaussian

The arrival of Photons follows discrete Poisson process; when large number of photons arrive, the distribution pattern is a continuous Gaussian distribution.

Thermally generated electron-hole pairs (Dark current) generation essentially follows the same principle.



Shot Noise Current Density (Photon Noise)

Photon arrival is a "Poisson Distribution".=N^ke^{-N}/k!; k=0,1,2,3 (photon arrival sequence). If N is the average no. of photons, then the variance of a Poisson distribution is the same as the mean **N** .

$$I = \overline{i} = Nq / \Delta t$$

$$\overline{i_n^2} = \overline{(i - I)^2} = (q / \Delta t)^2 \overline{(n - N)^2} = (q / \Delta t)^2 \bullet N$$

Shot_Noise

$$\overline{i_n^2} = qI / \Delta t = 2 \bullet q \bullet I \bullet \Delta f$$

Shot Current Noise is thus: [2ql]^{1/2} $/\sqrt{\Delta}f$

So Shot Noise Output Voltage in a TIA circuit is:

e _{shot}=[2 q I Δf]^{1/2} R_f

An ideal IT system is a Background Limited Infrared Photodetector (BLIP) system.

Nyquist Frequency

 The minimum Digitization interval needed to represent a periodical signal is ½ of its maximum frequency, or

$$\Delta t = 1/(2 f_{max})$$

So the noise bandwidth Δf is defined as:

 $\Delta f=1/(2\Delta t)$

Total Noise e_{n,total}

$$\begin{split} &e_{n,total}^{2}=e_{det}^{2}+e_{dark}^{2}+e_{amp}^{2}+e_{Rf}^{2}+e_{photon}^{2}\\ ⩔\\ &e_{n,total}^{}=\{e_{det}^{2}+e_{dark}^{2}+e_{amp}^{2}+e_{Rf}^{2}+e_{photon}^{2}\}^{1/2}\\ &e_{det}^{2}=\int_{\Delta f}kf^{-\alpha}\,df\\ &e_{dark}^{2}=2qI_{dark}R_{f}\Delta f\\ &e_{amp}^{2}=\int_{\Delta f}\left[e_{input}\left(1+\omega^{2}R_{f}^{2}\,C_{in}^{2}\right)\right]\,df \end{split}$$

$$e_{Rf}^{2}=4kR_{f}T \Delta f$$

 $e_{photon}^{2}=2qI_{photon} R_{f}\Delta f$

Two ways to Present "noises"

- 1. Input Referred: Noise current
- 2. Output Referred: Noise voltage

NEP, D, and D*

- NEP=I _{noise}/Resp [W]
- D=1/NEP [w⁻¹]
- D*=(a det · ∆f) · D [cm · √Hz · W⁻¹]

Planar Back-side Illuminated InSb SCA (System Chip Assembly)



Homework (II)

System Parameters:

- A_{det}: 125 μm^x 125 μm InSb PV;Q_{sig}:10¹⁶ Ph/cm² @ 5.5 μm, @ 77K
- η=0.85; unit area dark current I _{dark}: 8x10 ⁻⁵ A/cm²; C_{in}=8pF R_f=10MΩ; C_f=2pF; $e_{n,input}$ =6 nV/ $\sqrt{-}$ Hz

Physical Parameters:

- h: 6.63x 10⁻³⁴W-sec²; c=3x10⁸ m/sec; q: 1.6x10⁻¹⁹ A/sec;
- k:1.38 x10⁻²³ W-sec/K

Find

- 1 the "break frequency f_{-3dB}" of the feedback circuit, and use f_{-3dB} for ∆f
 2a.Johnson noise voltage density; 2b: total Johnson noise voltage
 3a. Total photon power falling on the detector 3b:detector responsibility
 4a Photon Current, 4b: Signal voltage
 5a. Photon Noise current density; 5b: total photon noise voltage
 6a. Dark current; 6b: Dark current noise density 6c: dark current noise voltage
 7a. Boosted noise voltage density; 7b: total boosted input noise voltage
- 8a. Total output-output referred noise voltage; 8b: SNR
- 9a: NEP; 9b:D; 9c: system D*

The Concept of Signal Chopping

 An Ideal way to "decouple" the 1/F noise is the use of a Sine Wave Chopper

That Generates Singular Frequency (Lock-In))







If the Aperture >> Beam Size You Have Square Waves

 So we May Still Utilize the "Fundamental Frequency" for Signal Comparison







Airy Disc: Diffraction Limited Spot Dairy=2.44 λ (F/#)

F/*: F-Stop of an Optical System=f.I./D_{aperture} Since Numerical Aperture NA=D_{aperture}/(2 • f.I.)=1/(2F/#) So D_{airy} =1.22 • λ • NA



How Many Pixels Do we need on a Digital Cameral? The More the Better?

- Suppose a CCD Chip is 1000x1000, with each pixel dimensions of $7\mu m$ x $7\mu m$
- The F/*=3.0;
- at 0.7 $\mu\text{m},$ the Airy disc is
- 2.44x 0.7x 3=5µm
- When $\lambda = 10 \mu m$, then the Airy disc=73 $\mu m!$
- In IR cameras, the pixels are "coarser".

Rayleigh's Spatial Resolution

• The Resolution is Half of the Spot









Optical MTF

An Optical MTF is the Fourier Transform of its "Optical Spot" .If the system is Diffraction limited then its Optical MTF

Can be approximated by:

- MTF _{optical}=(2/ π) (ϕ -Cos ϕ sin ϕ)
- Where ϕ =Cos⁻¹(λ f/2NA) because the "blur circle is wavelength dependent
- f: spatial frequency in "line pairs/ mm"

Practical Optical MTF Approximation

Most film MTF curves can be closely approximated by a Lorentzian function

MTF (f) = 1/(1+(f/f_{50})2)

Where the "Nyquist MTF" f₅₀ is wavelength dependent



http://www.normankoren.com/Tutorials/MTF1A.html

MTF Examples-1

• MTF for a "Pure Tone": sine function

100%	
50%	
10%	
5%	
2%	

MTF Example-2



$\pi\omega X$

Detector MTF:Sinc Function

 Convolution of A Square Detector's and a Sine Scene is Detector's MTF



X: I "IFOV"

 $\sin(\pi \alpha X)$ MTF = A

Sinc Function: sinc x=(sin π x)/ π x





Total MTF

- The Total MTF is the Product of:
- $MTF_{total} = MTF_{optical} \cdot MTF_{det}$
- (If scanning is involved, another MTF electronics would be included as well)
- In the Actual Imaging Space, it means
- "Convolution" of both Optical Blur and the Detector with a Pure Tone Sine wave



An Ideal Diode Curve



An Ideal Current Source has "Infinite Output Impedance"



An Ideal Voltage Source has "Infinitesimal Output Impedance"



Video CCD Close-up



Simple PC Detector Biasing

Voltage Biasing



Absorption Coefficients vs. λ



Semi-Conductor P and N types N-Type **Conduction Band Conduction Band** Acceptor evel onor (Group III) Valence Band evel Group V) Group III: Valence Band B, Al, Ga, In Group V: Depletion Region or P,As, Sb Space Charge region
PC Detector Principle



Photon-induced Charges $\Delta N = \eta \cdot \Phi \tau_L / (A \cdot t)$ Conductivity $\Delta \sigma = q \cdot \Delta N \cdot (\mu_e + \mu_h) \sim \Delta N \sim \Phi$ $R_{det} = 1/(\sigma \cdot A)$ $\Delta R_{det} = -1/(\sigma^2 \cdot A) \cdot \Delta \sigma = -(R_{det}/\sigma) \cdot \Delta \sigma \sim \sim \Phi$ $R_{PC}(A/W_1 = \eta (q/h \nu))G$

PC Detector Responsibility

 $\mathsf{RPC}(\mathsf{A}/\mathsf{W})=\eta \ (\mathsf{q}/\mathsf{h} \ \nu \)\mathsf{G}=0.8 \ \eta \ \cdot \ \lambda \ \cdot \ \mathsf{G}$

- G: photo-conductive gain = $\tau \cdot \mu \cdot E/d$
- Where μ = (μ_e + μ_h)

d=inter-electrode spacing

G can be greater than unity; a blessing and a curse!





Diode Schematics

