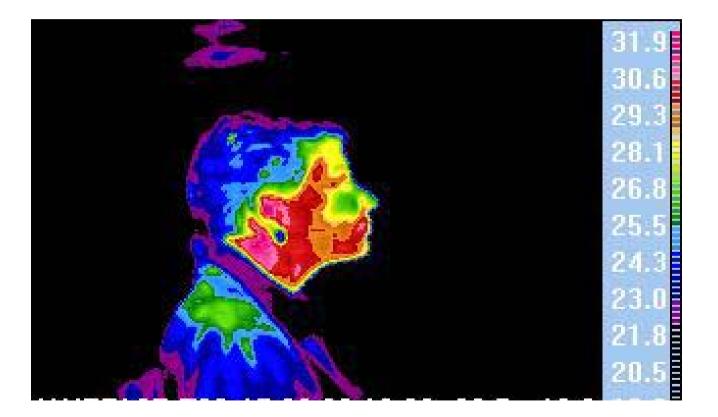
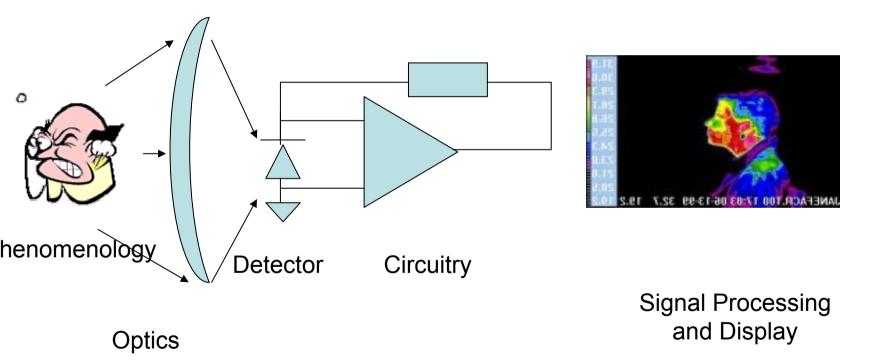
#### Thermal Infrared Systems Lecturer: B T Yang 楊丙邨 March 2005 NTU



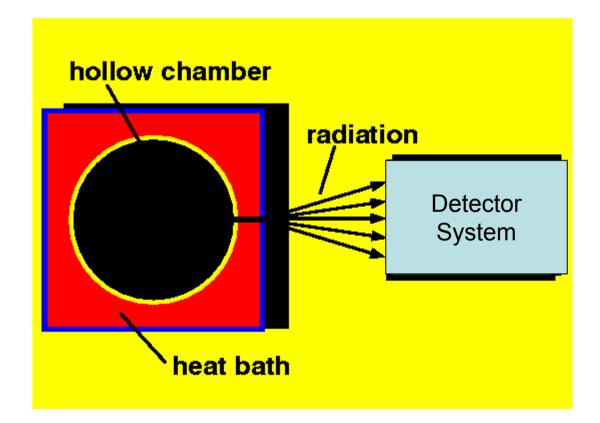
## Lecture Outline

- 1. Phenomenology: "What is"
- 2. Optics
- 3. IR Detectors: Thermal, PC, PV
- 4. IR Detector Circuitry and Noises
- 5. IR Systems and Applications

## **Typical IR System**



## IR is Never Complete without Introducing the "Blackbody"



"Black" means No Light is Reflected, but "Light" can be emitted!

## **Grooved Planar Black Body Source**

• "Grooved surface enhancing the emissivity



#### Absolute Blackbody Radiance Calibration Standard: Metal Freeze Temperature

Pure Metal	Freeze Temp* (°C)	Pure Metal	Freeze Temp* (°C)
Gallium	29.7646**	Aluminum	660.323
Indium	156.5985	Silver	961.78
Tin	231.928	Gold	1064.18
Zinc	419.527	Copper	1084.62

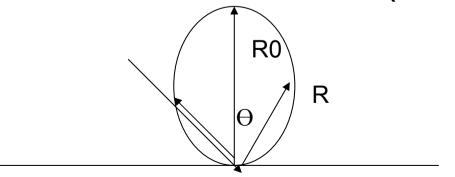
## Definition of a Black Body

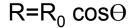
- A blackbody absorbs all incident radiation; r=0
- At a given temperature, no surface can emit more energy than a blackbody
- A blackbody is a "diffuse" emitter that follows the "Lambertian Laws"

## Lambertian Law

• Specular Surface (reflective)

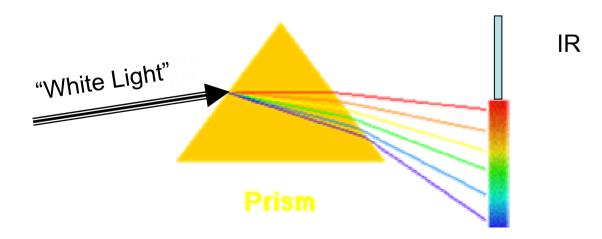
Lambertian Surface (diffuse surface)





## The beginning of Infrared Infra= Ln. below

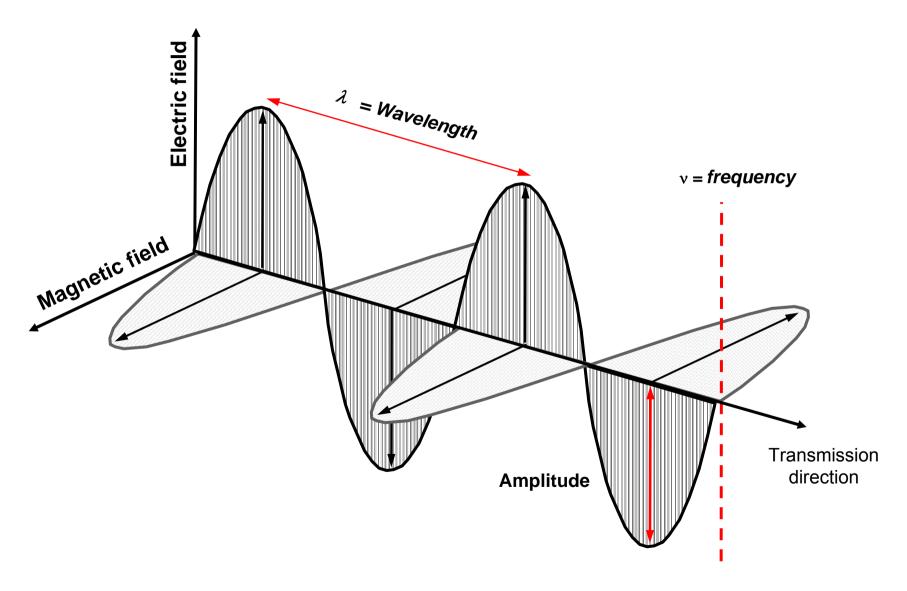
 In 1800, Sir William Herschel, using a prism to spread sunlight, observed the heating "beyond the red end" of the visible light spectrum



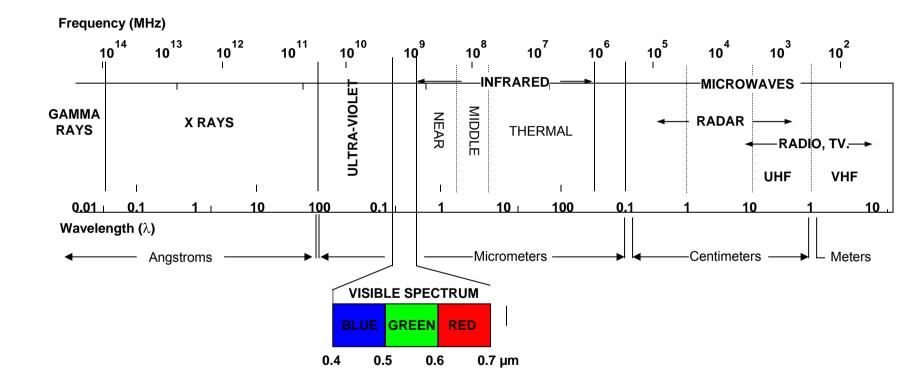
## IR: Heat?

- A known effect of infrared light on skin is dilation of blood vessels that transport blood to and from the skin for cooling=> sensation of heat!
- According to Kirchhoff Law, if r=0
   ε(absorptivity)=σ(emissivity)
- Since skin is a good IR emitter then it must be a good IR absorber!

## Light: An Electromagnetic wave



## The Electromagnetic Spectrum



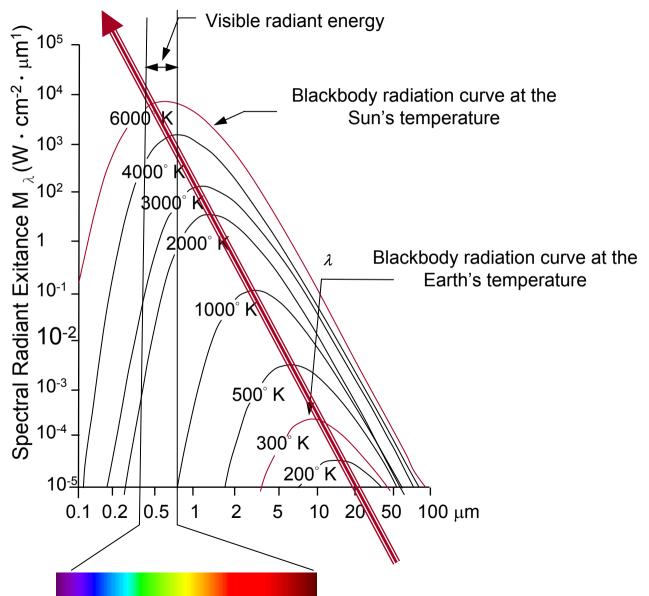
## **IR Frequency and Energy**

- Frequencies:  $.003x10^{14}$  to  $4.3 \times 10^{14}$  Hz
- Wavelengths: 1 mm 0.7  $\mu$ m
- Quantum energies: 0.0012 1.16 eV

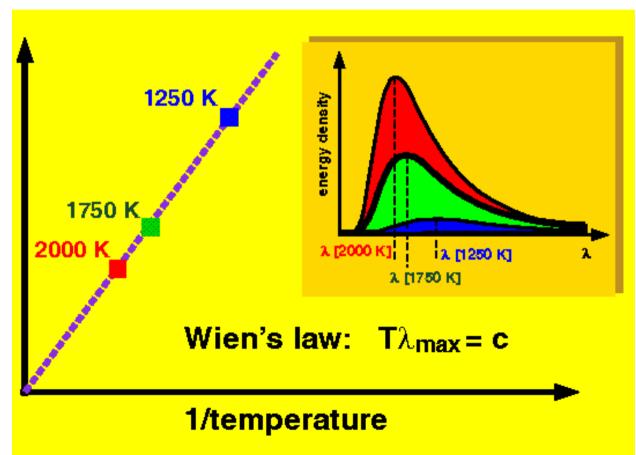
## Planck's Equation

- $M_{\lambda}$ : Spectral Exitance [W·CM<sup>-2</sup> ·  $\mu$  m<sup>-1</sup>]
- $\lambda$ : wavelength [  $\mu$  m]
- T: absolute temperature [K]
- h= Planck's constant =6.63x10<sup>-34</sup> W sec<sup>2</sup>
- C=  $3x10^{14} \mu$  m /sec

## Spectral exitance of a blackbody

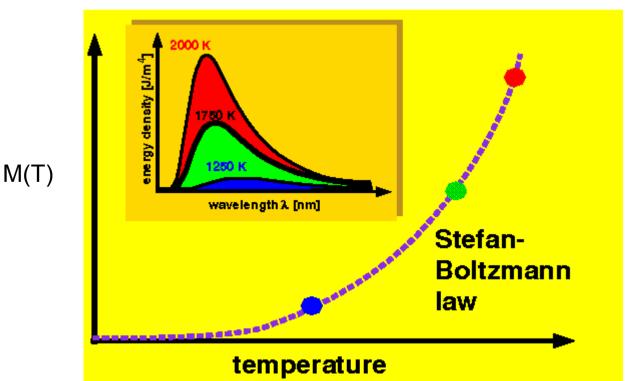


## Wien's Law



# Stefan-Boltzmann's Equation of Radiation

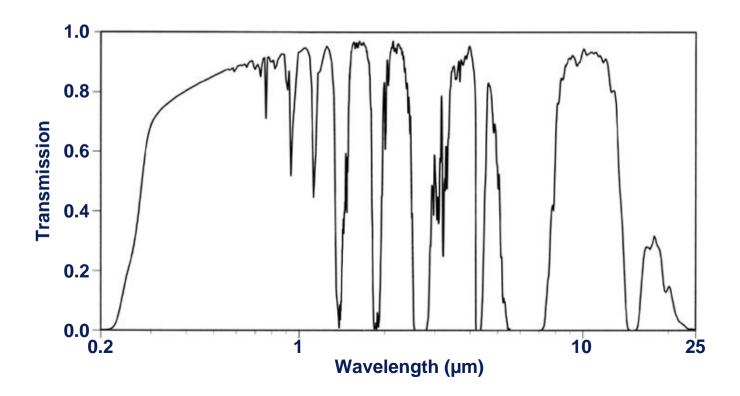
- M(T)=  $\int M_{\lambda}(\lambda, T) d\lambda = \sigma T^4 [W \cdot cm^{-2}]$
- M(T): Exitance (not Spectral Exitance)
- $\sigma$  : Stefan-Boltzmann's constant 5.67x10<sup>-12</sup> W · cm<sup>-2</sup> · K<sup>-4</sup>



## Grey Body?

- When emissivity  $\boldsymbol{\epsilon}$  is not unity
- Most physical surfaces are grey bodies
   ε<sub>skin</sub> ~ 0.95, then it must be "Approximated as a Blackbody
  - $M_{\lambda} = \varepsilon M_{\lambda}$  $M = \varepsilon \sigma T^{4}$

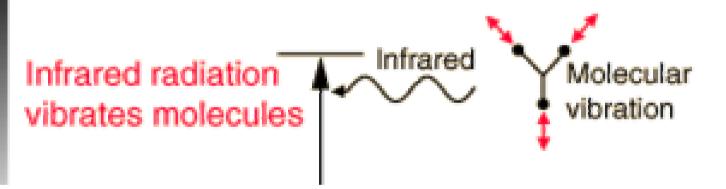
## Atmospheric Transmission Spectra



#### **Infrared Interactions**

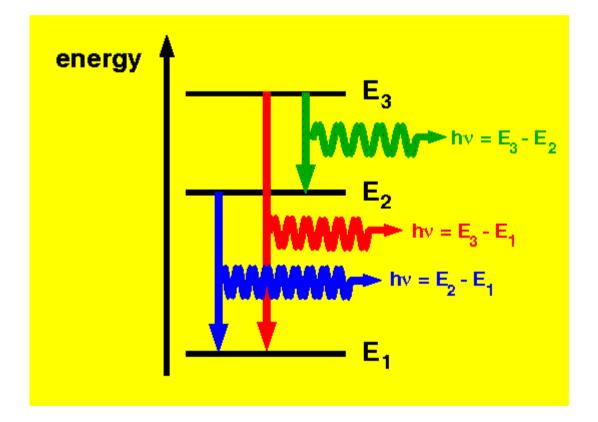
http://hyperphysics.phy-astr.gsu.edu/hbase/mod3.html#c3

The result of infrared absorption is heating of the tissue since it increases molecular vibrational activity..



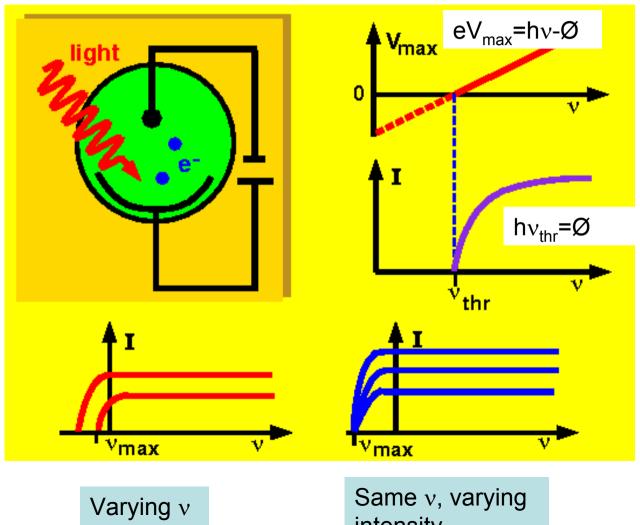
## **Discrete Energy State**

• Planck's 1900's "lucky Guess"  $\Delta E = h_V$ 



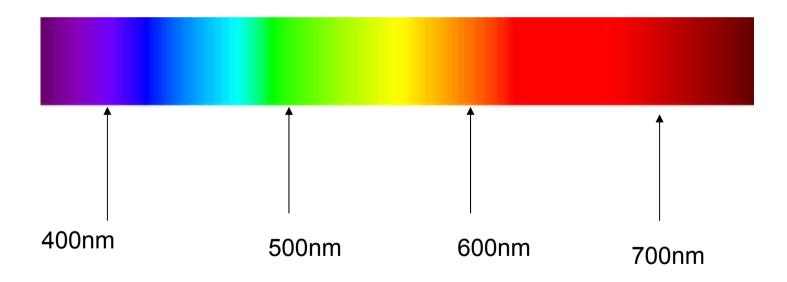
## **Photo-Electric Effect**

• Eienstein 1905's Paper Confirming the Discret Energy



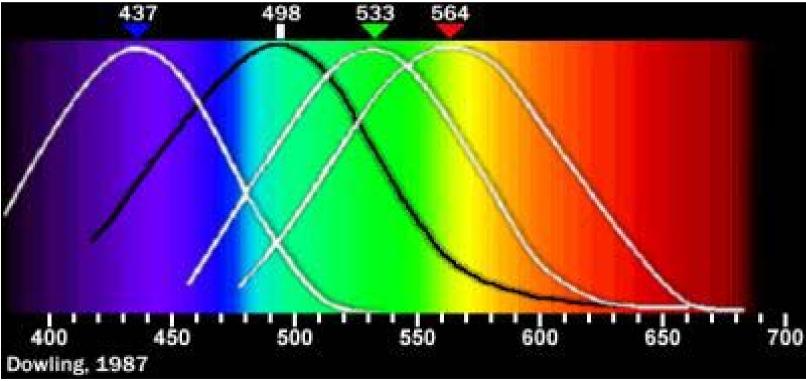
## Visible Spectral Range

• Visible Band: 400nm to 700nm



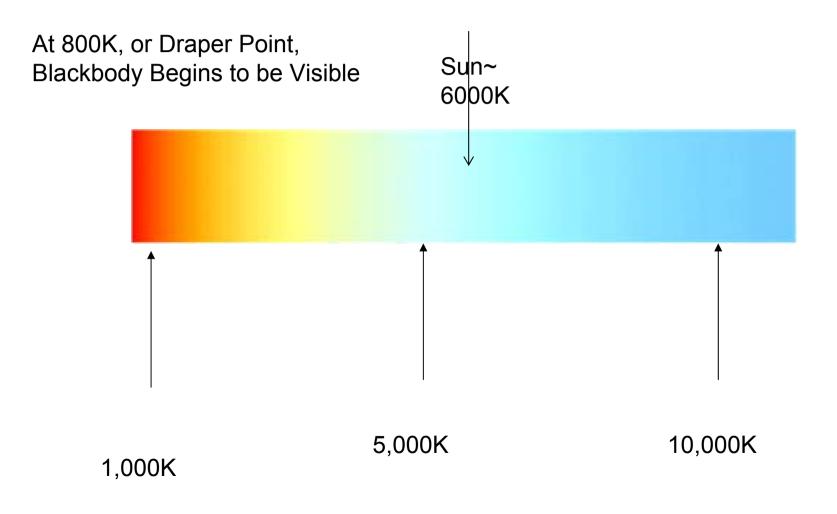
## Eye's Cones' (3) and Rods' Responses

- Rods for night vision (more sensitive)
- Cones for color day vision



## "Color" Temperature

An Apparent Visible Color of a Blackbody at T



## Night Goggles are "not" true Thermal Images

• Night Goggle Images are "Reflected NIR Images", not "Emitted Thermal images"

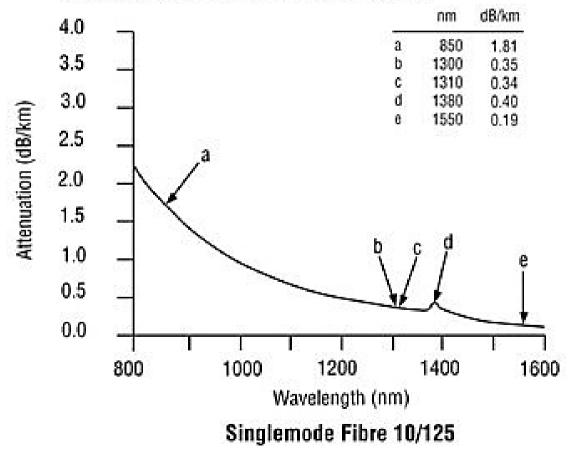


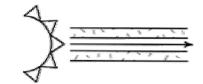
Many Low-Cost Low-Light Detection Systems are NIR Systems

## "Near IR Wavelength Used for Optical Communications

"Single mode fiber" single path through the fiber

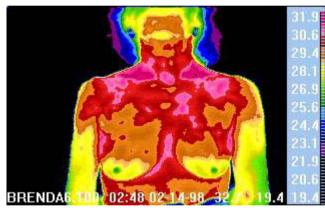
Spectral Attenuation (typical fiber):

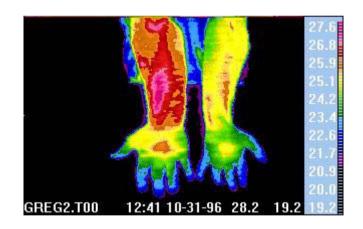




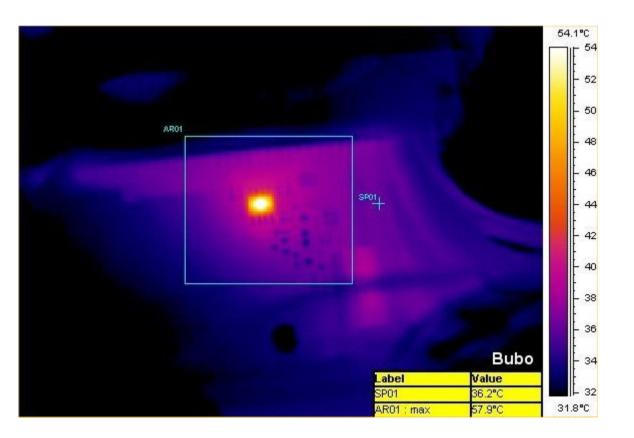
## Human Thermal Images

 http://www.ir55.com/infrared\_IR\_camera.h tml



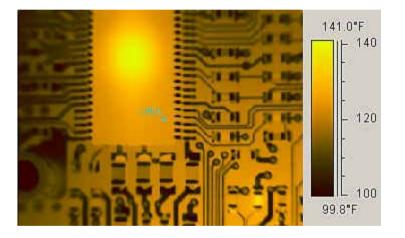


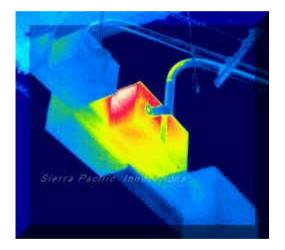
## PC Board Localized Heating





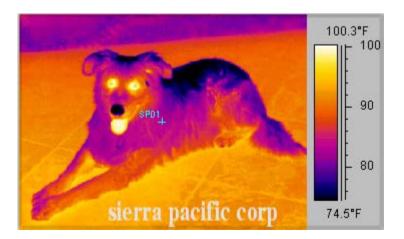
## Localized IC Chip Detection



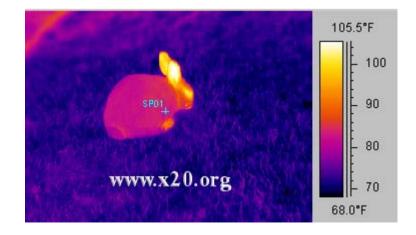


## **Burglar Detection**

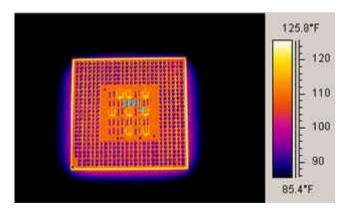








## **Underside Celeron Chip**

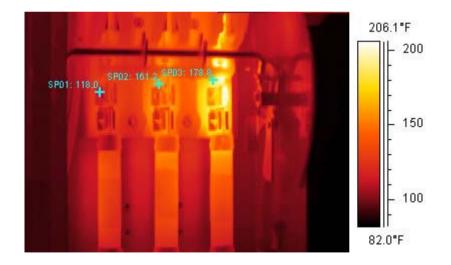


## SARS Temperature Screening

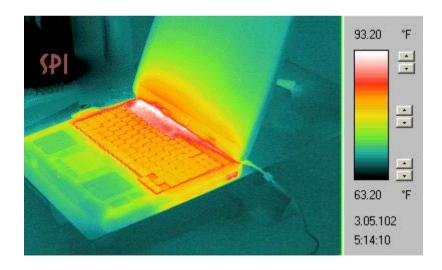


## **Preventive Maintenance**

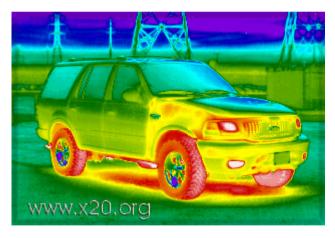
• Electrical Fuse Thermal Image



## **Thermal Management**



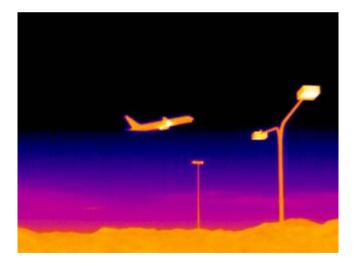
## **Defense Applications**





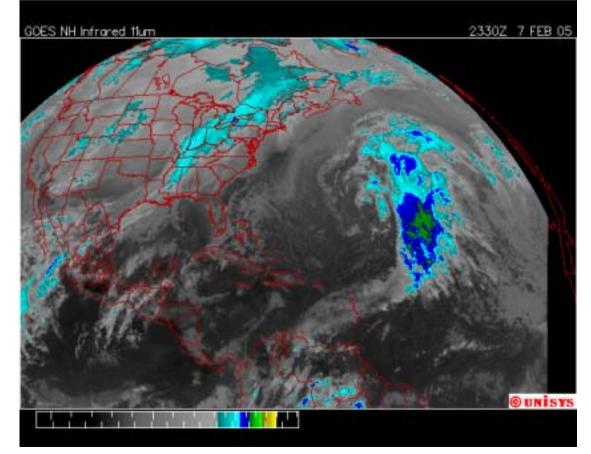
### Sky Surveillance

Collision Prevention



### Weather Monitoring

Geosynchronous Weather Satellite Application



#### What "Limits" Your Measurements?

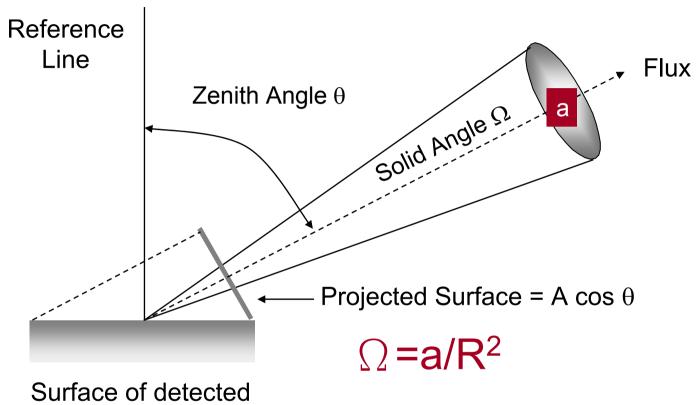
1. Spatial (How Small an Area Can the System Resolved?):

#### Optics

- 2. Temporal (How Fast Can the System Do?): Detector and Electronics Responses
- 3. Resolution of the System (What is the Samllest Temperature the System can resolve?):

NEP

#### Solid Angle Concept



object (A)

#### Radiance L

 Radiance is Defined as the Power per Unit Area per Steradian(Sr)

#### L[W m<sup>-2</sup> Sr<sup>-1</sup>]=M(T)/ $\pi$

## Solar Constant K<sub>solar</sub>(Example)

- Solar disk "subtends" 1/2°(or 9 mRadian) in view, the solar constant is the total Radiance Power per unit area
- Since the Radiance is
   L=1/π M(6000K)=(σ/π)x6000<sup>4</sup>=2.34x10<sup>7</sup>W · m<sup>-2</sup> Sr<sup>-1</sup>
- The solid angle of the sun is
- Ω=(π/4)(0.009/2)<sup>2</sup>~6.4x10<sup>-5</sup> Sr
- The Solar Constant is then:
- $K_{solar} = L \cdot \Omega \sim 1.5 \text{ KW/M}^2$
- $\sigma$  : Stefan-Boltzmann's constant 5.67x10<sup>-8</sup> W · m-2 · K<sup>-4</sup>

#### Equilibrium Temperature Concept

- The Total Power Absorbed by a 1M<sup>2</sup> Plate Perpendicular to Sun Rays is a Solar Constant K<sub>solar</sub> of 1.5KW
- The Radiated Power is
- The Equilibrium Thermodynamic Condition Stipulates:
- $\sigma T_{\text{plate}}^{4} = K_{\text{solar}}$
- T<sub>plate</sub>=(1500/ *σ* )<sup>1/4</sup>~403K=130°C

How to Manipulate the Equilibrium Temperature T<sub>equi</sub>

- By varying Surfaces Solar Absorption Coefficient  $\alpha$  and  $\varepsilon$
- For  $\alpha$  of 0.2 and  $\varepsilon$  of 0.9, T<sub>equi</sub>~277K=>4°C!
- $\alpha K_{solar} = \varepsilon \sigma T_{plate}^{4}$

$$T_{equi} = \sqrt[4]{\frac{\alpha K_{solar}}{\mathcal{E}\sigma}}$$

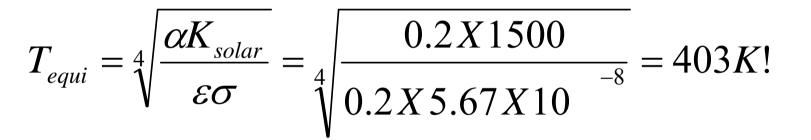
•For  $\alpha$  of 0.2 and  $\varepsilon$  of 0.9, T<sub>equi</sub>~277K=>4°C!

 $= \sqrt[4]{\frac{solar}{\epsilon\sigma}}$ 

# Why is a Metal Surface so Warm in the Sun?

Polished Metal Surfaces have low  $\alpha$  and  $\varepsilon$ 

Assume  $\alpha = \varepsilon = 0.2$ 



•  $\sigma$  : Stefan-Boltzmann's constant 5.67x10<sup>-8</sup> W · m-2 · K<sup>-4</sup>

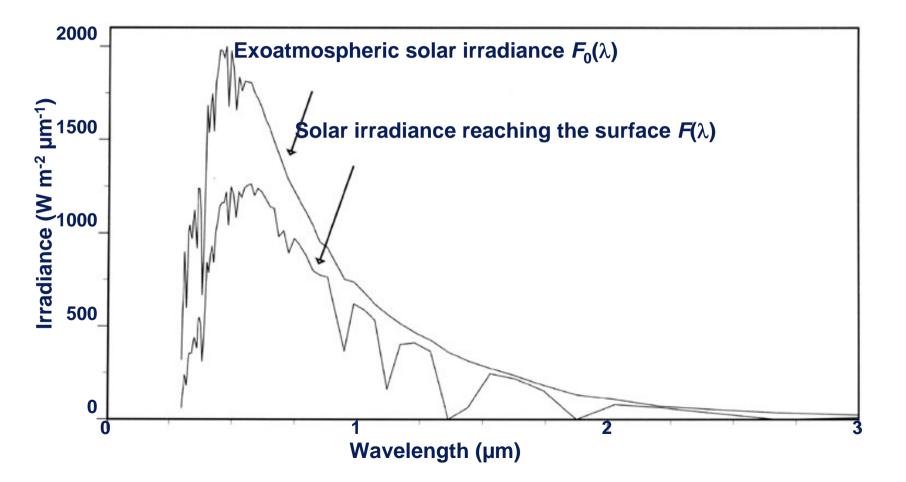
# Does "Absolute Temperature" Have to Do with Heat Transfer?

- Conduction
- $\Delta Q \sim \Delta T$
- Convection
- $\Delta Q \sim \Delta T^{n;} n \neq 1$
- Radiation

 $\Delta Q \sim \Delta (T_1^4 - T_2^4)$ 

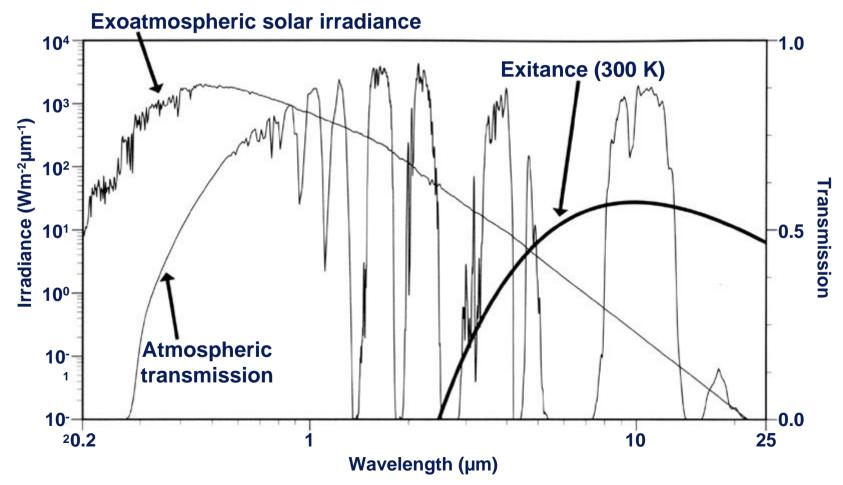
Radiative Heat Transfer is the Only Form of Heat Transfer that requires Absolute Temperature instead of Temperature Difference

#### Scattering of Sunlight by the Earth-Atmosphere-Surface System



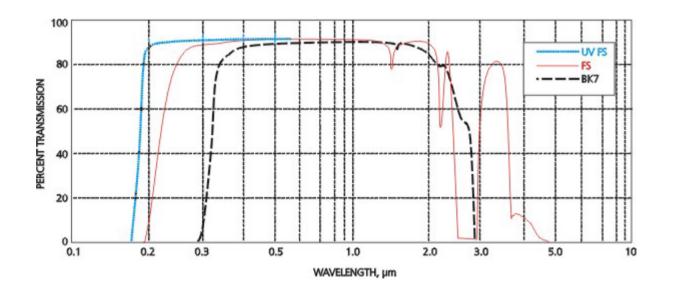
### Atmospheric Transmission and Greenhouse Effects

http://tbrs.arizona.edu/education/553-2004/2004/Lect083104\_Ch2.ppt-link.ppt#21

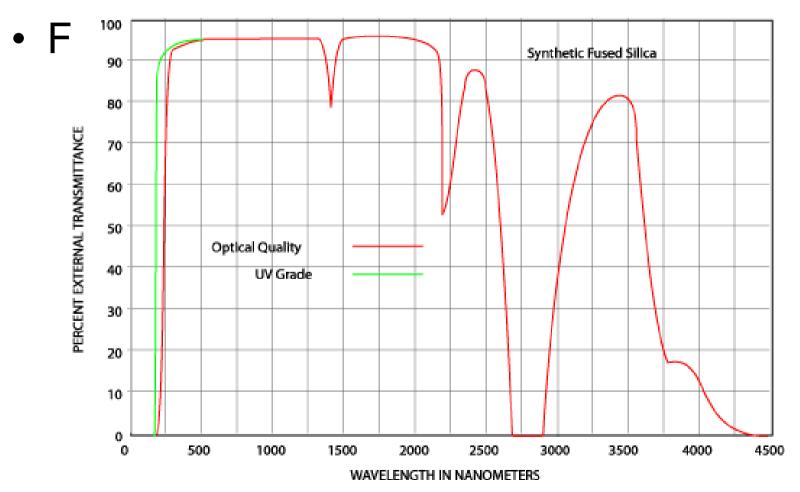


#### **BK-7 Transmission Curve**

- Most Plate Glass, Similar to BK7
- Plate Glass is Opaque to LWIR



# Fuse Silica (quartz) Transmission



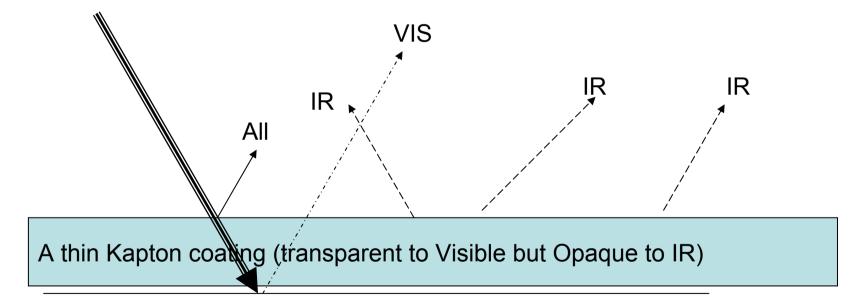
http://www.mollocariot.com/products/optics/mp. 2.2.htm

# Why is the Interior of a Car so Warm in the Sun?

 Sun(6000K) warms a car with all wavelengths, but the interior of the car (300K-400K) emits IR that can not pass through the glass.

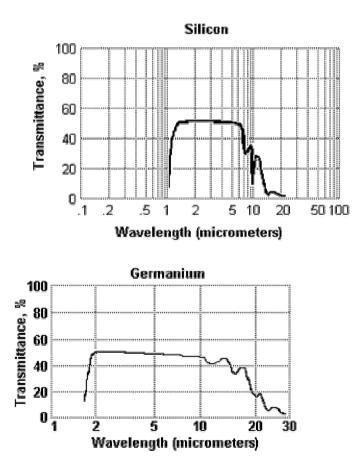
# So How Does a Space Suit Work in the Sun?

• By a "Secondary Mirror" Surface!



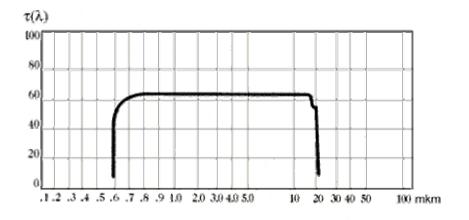
Metallic Surface to reflect Most the Visible Lights

#### Si and Ge IR Transmissions



#### **ZnSe Transmission**

http://www.almazoptics.com/ZnSe.html



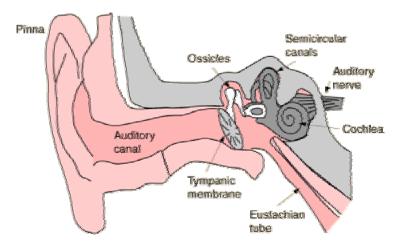
Regardless the "skin tone" difference, all men are equal in Infrared

• Yes, about 0.98; almost black!

#### What is an Aural Thermometer", or Infrared Aural sensor

- Tympanic cavity as a blackbody cavity
- Emissivity~1.00
- Readily calibrated
- \*\*Must be in a cavity!!



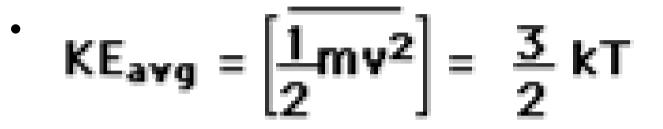


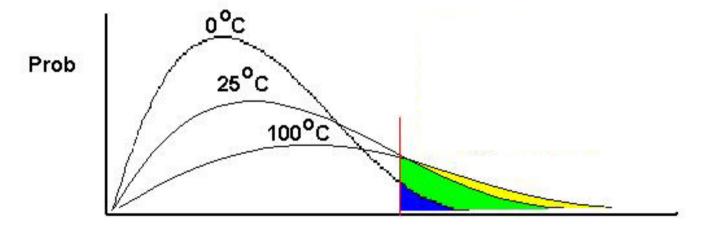
#### The Infamous SAR Fighter: Ear Cavity Thermometer

- a clinically reliable indicator of body core temperature
- Pyro-Electric
   Transducer



Electron Thermal Energy: Why IR Detectors Must be Cooled!





kinetic energy

### **NEP Concept**

- If we use the entire spectrum, then to detect 38°C (vs. 37 °C), the difference is [(38 +273)/(37 + 273)]<sup>4</sup> = 1.013%
- So to resolve 1°C the "system" must be able to resolve 1.3% difference
- =>Noise Equivalent Power or NEP

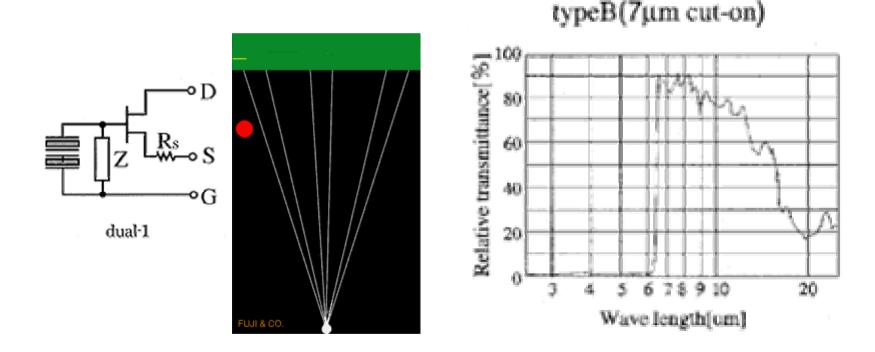
#### How good is my System Stacking Against the Others?

 $D^*=(A_{det} \Delta f)^{1/2}/NEP$ 

#### **Pyro-electric Detectors**

- Pyro: Gk "Fire"
- Pyro-electric: electrical output caused by heat
- Sometimes used for "fiery sparks" display for stage effects
- Low sensitivity, low cost
- Usually for intrusion detection only

#### Pyro-electric Detector polyethylene Fresnel lens are typically used for their low costs TGS (Tri-glicine-sulfate)



http://www.fuji-piezo.com/TechGen.htm

## $PV Hg_xC_{1-x}Te$

- Short for "photo-voltaic Mer-Cad-Telluride",,or, "Mer-Cad"
- Chemical compound of HgTe and CaTe
- Response ranging from 1µm to 5.5µm, and 8µm up to 13µm, depending on the Hg to Cd ratio
- Most versatile IR detector

## PC HgCTe

- Response to 18 microns
- Intrinsic Detectors
- Need "chopping"
- Response varying with temperature
- Operative in higher temperature than PV

#### Thermal Transducer is "Export Control" Items

 InSb, HgCdTe, and room-temperature Thermal-pile Focal Plane Arrays (FPA) are all "Strategically sensitive" items

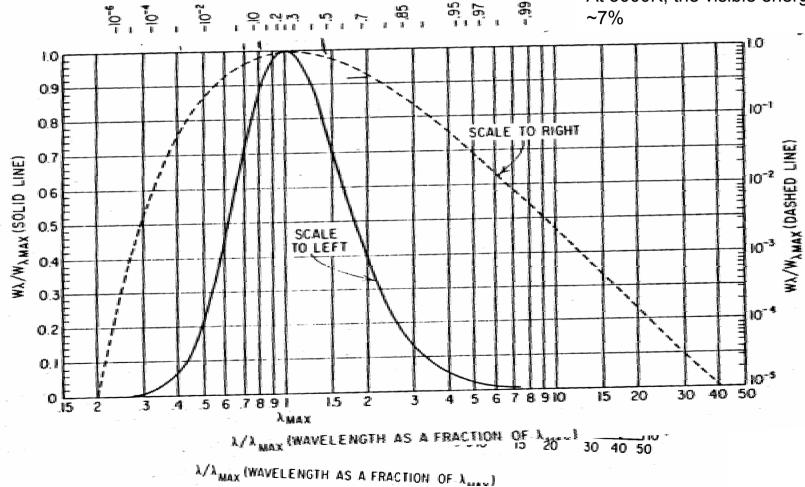
#### References

- Electro-Optics by Lewis J Pinson, John Wiley & Sons, Inc., (1985)
- Modern Physics by Serway, Moses, and Moyer, Saunders College Publishing, 1997
- Optical Radiation Detectors by Dereniak and Crowe, John Wiley and Sons
- Infrared Handbook by Wolfe etc., Environmental Research Institute of Michigan

#### Normalized Blackbody Equation

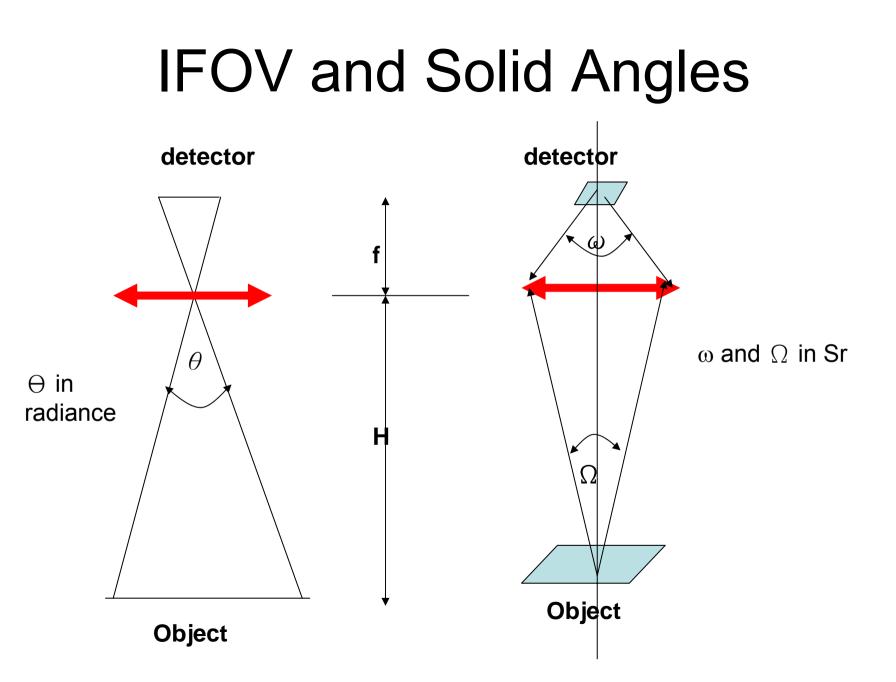
FRACTION OF TOTAL ENERGY EMITTED BELOW A

At T=6000K,  $\lambda$ max=0.5 µm Since 0.4< $\lambda_{vis(}\mu$ m<0.7 or 0.8 <  $\lambda / \lambda_{max}$ < 1.4 So % visible energy is 0.45-0.1=0.35 or 35% of the total energy At 3000K, the visible energy ~7%

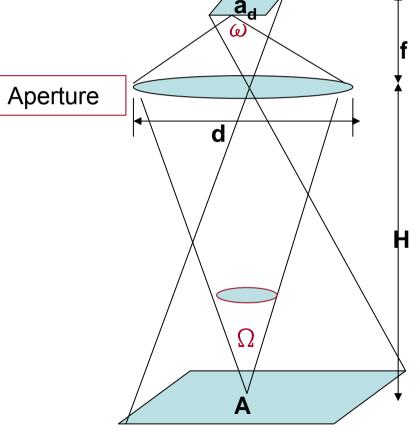


## Homework(1)

- At the Daper point (800K), a blackbody begins to be visible, computer the visible exitance
- A 1-cm thick,1m square plate has one side perpendicular to the sun and a conductivity of 0.01 W/m/K. If the emissivities of both surfaces are all 1/5.67, and the shodow side of the surface temperature is 300K, compute the solar absorptivity of the surface facing the Sun
- Prove the blackbody equation can be normalized as M  $_\lambda$  / M  $_{\lambda,\,max}$  vs.  $\lambda$  /  $\lambda_{\,max}$
- Compute the percentage increase in visible energy for a 3000K blackbody to 3400K (incandescent tungsten to halogen)



## Radiometry Identity $a_d \omega = A \Omega$ $\frac{a_d}{f^2} = \frac{A}{H^2}$



Multiplying both sides by  $\pi d^2/4$  yields

$$\frac{\pi d^2}{4} \frac{a_d}{f^2} = \frac{\pi d^2}{4} \frac{A}{H^2}$$

Since  $\omega = \pi d^2/4f^2$  and  $\Omega = pd^2/4f^2$ Thus

 $\mathbf{a}_{\mathbf{d}}\omega = \mathbf{A} \Omega$ 

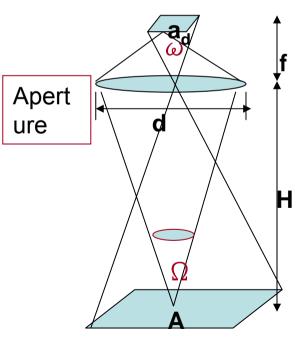
### **Optical Power on a Detector**

• The Optical Power Falling on a Detector is:

$$= T_{opt} \cdot L[W Sr^{-1} m^{2} \mu m] \cdot \Omega A \cdot \Delta \lambda$$
  
Substituting the Radiometry Identity yields:  
$$= T_{opt} \cdot L \cdot \omega a_{det} \cdot \Delta \lambda$$
$$\pi$$

$$= \mathbf{T}_{opt} \cdot \mathbf{L} \cdot \frac{\pi}{4 \cdot (F/\#)^2} \mathbf{a}_{det} \cdot \Delta \lambda$$
  
Since

$$\varpi = \frac{\pi d^2}{4f^2} = \frac{\pi}{4(f/d)^2} = \frac{\pi}{4 \cdot (F/\#)^2}$$



### **Detector Responsivity**

 An Ideal Detector Generates one e- for every Photon absorbed:

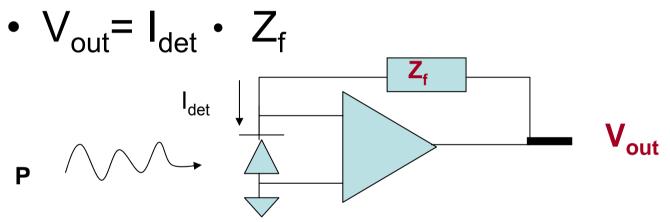
$$R_{ideal} = \frac{q}{h\nu} = \frac{q\lambda}{hc} \approx 0.8 \bullet \lambda [A/W]$$

An Actual Detector Responsivity is: R[A/W]=  $\eta$  R<sub>ideal</sub>= 0.8  $\eta$   $\lambda$ 

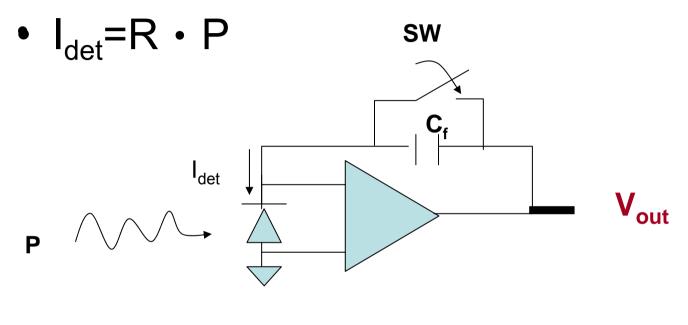
q=1.6x10<sup>-19</sup> Amp-sec Note:  $\lambda$  in  $\mu$ m

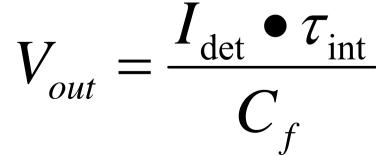
### Theoretical Detector Output (TIA)

• I<sub>det</sub>=R • P

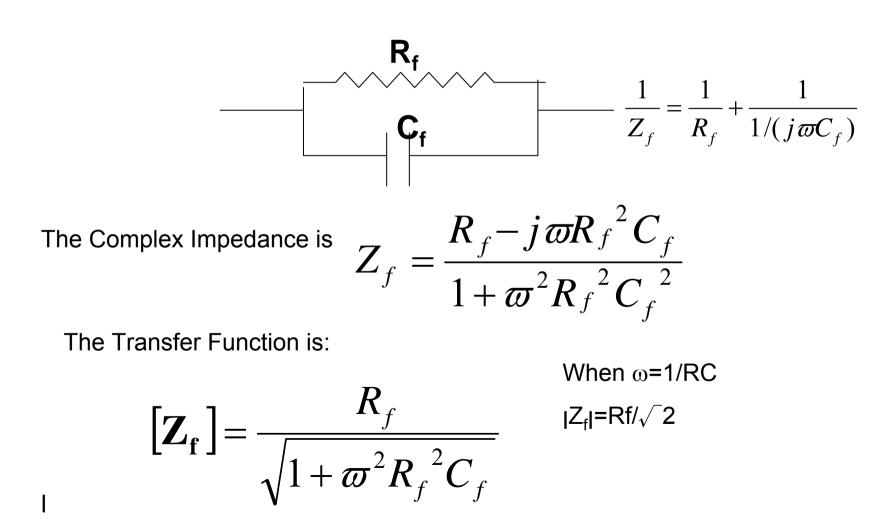


### Theoretical Detector Output (CTIA)



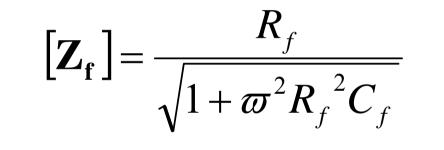


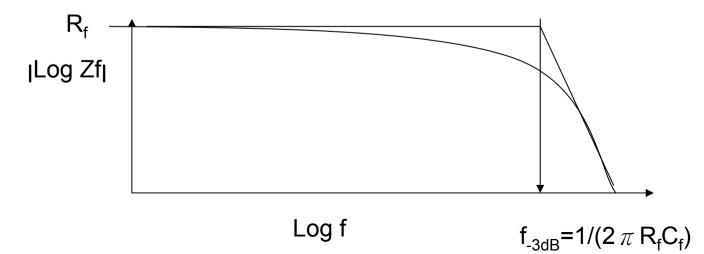
#### **TIA Impedance Transfer Function**



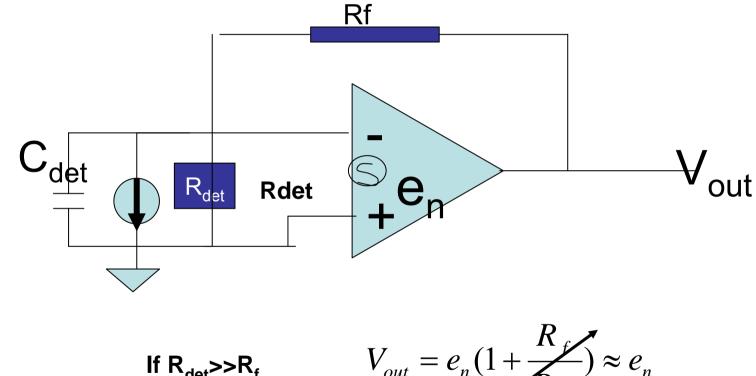
## Frequency Response of Z<sub>f</sub>

Since  $\omega = 2 \pi$  f, and  $f_{3db} = 1/(2 \pi R_f C_f)$  $|Z_f| = Rf/\sqrt{2} = 0.707 R_f @ f_{-3dB} = 1/(2 \pi R_f C_f)$ dB=20 log (0.707)= -3 dB

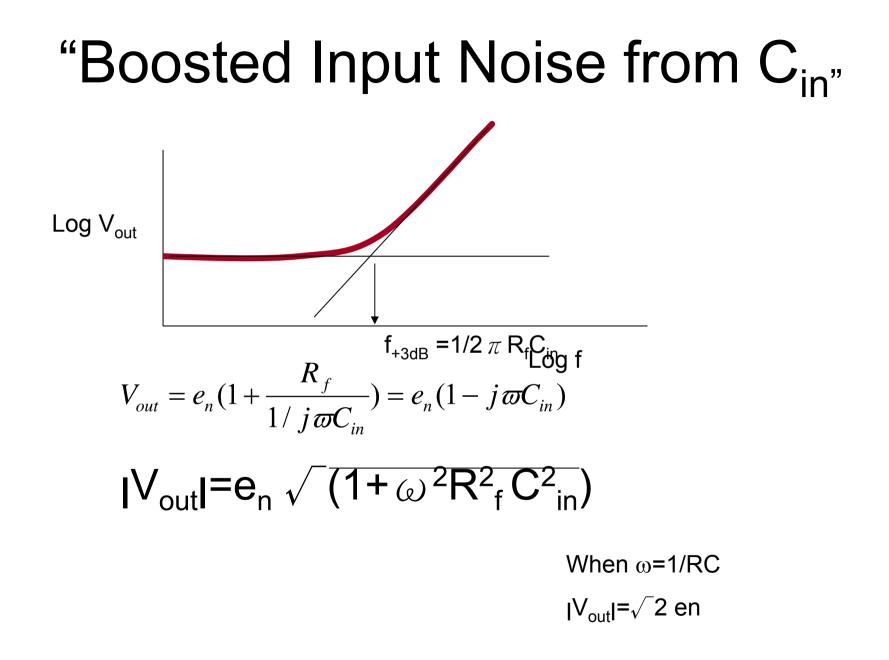




#### Why is that Detector Impedance needs to be High

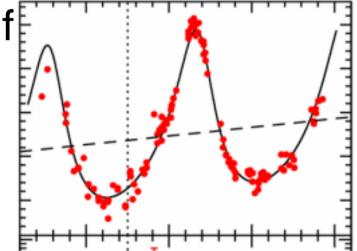


$$_{\text{det}} >> \mathsf{R}_{\mathsf{f}}$$
  $V_{out} = e_n (1 + \frac{R_f}{R_{\text{det}}}) \approx e_n$ 



Chopping is Essential for "Drifting Signal"

- Chopping Effectively "De-couples" the Slow Drifting "1/f" Noise (including D.C. Level)
- In CCD, A "Correlated Double Sampling" is Used to Eliminate Drif

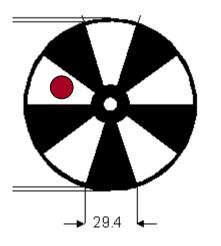


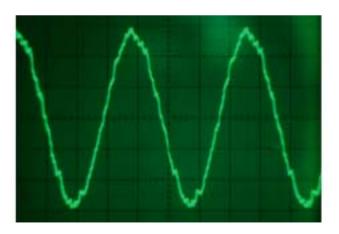
## The Concept of Signal Chopping

 An Ideal way to "decouple" the 1/F noise is the use of a Sine Wave Chopper

That Generates Singular Frequency (Lock-In))

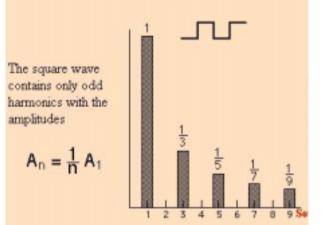


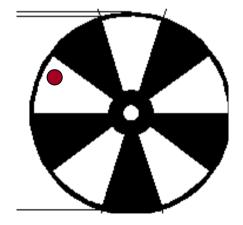


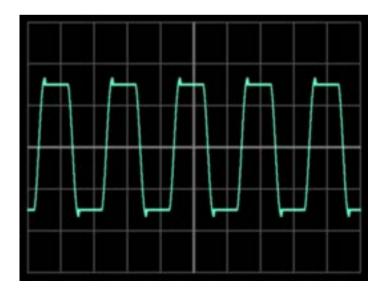


## If the Aperture >> Beam Size You Have Square Waves

 So we May Still Utilize the "Fundamental Frequency" for Signal Comparison

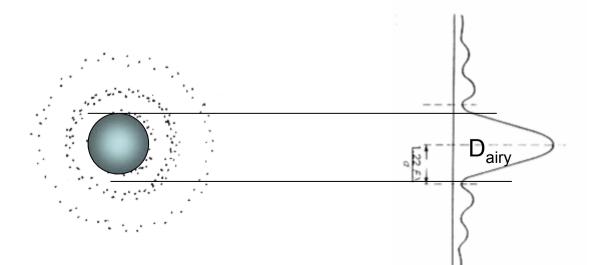






# Airy Disc: Diffraction Limited Spot Dairy=2.44 λ (F/#)

F/\*: F-Stop of an Optical System=f.I./D<sub>aperture</sub> Since Numerical Aperture NA=D<sub>aperture</sub>/(2 • f.I.)=1/(2F/#) So D<sub>airy</sub> =1.22 • λ • NA



#### How Many Pixels Do we need on a Digital Cameral? The More the Better?

- Suppose a CCD Chip is 1000x1000, with each pixel dimensions of  $7\mu m$  x  $7\mu m$
- The F/\*=3.0;
- at 0.7  $\mu\text{m},$  the Airy disc is
- 2.44x 0.7x 3=5µm
- When  $\lambda = 10 \mu m$ , then the Airy disc=73  $\mu m!$
- In IR cameras, the pixels are "coarser".

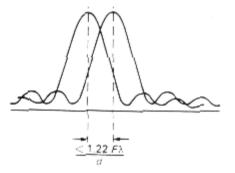
# **Rayleigh's Spatial Resolution**

• The Resolution is Half of the Spot









## **Optical MTF**

An Optical MTF is the Fourier Transform of its "Optical Spot" .If the system is Diffraction limited then its Optical MTF

#### Can be approximated by:

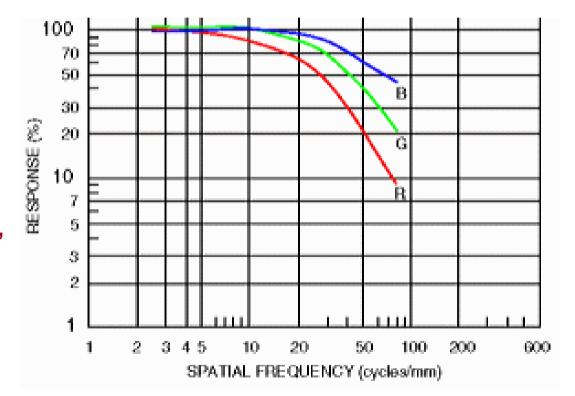
- MTF <sub>optical</sub>=(2/ $\pi$ ) ( $\phi$ -Cos $\phi$  sin  $\phi$ )
- Where  $\phi$ =Cos<sup>-1</sup>( $\lambda$ f/2NA) because the "blur circle is wavelength dependent
- f: spatial frequency in "line pairs/ mm"

#### **Practical Optical MTF Approximation**

Most film MTF curves can be closely approximated by a Lorentzian function

MTF (f) = 1/(1+( $f/f_{50}$ )2)

Where the "Nyquist MTF" f<sub>50</sub> is wavelength dependent



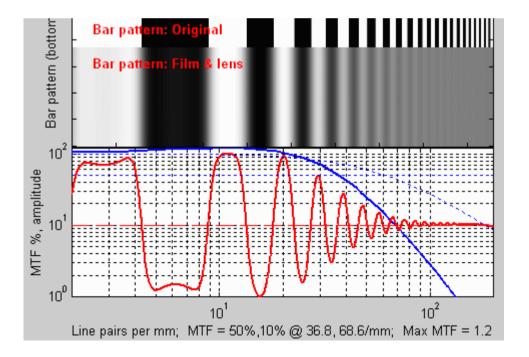
http://www.normankoren.com/Tutorials/MTF1A.html

## MTF Examples-1

• MTF for a "Pure Tone": sine function

100%	
50%	
10%	
5%	
2%	

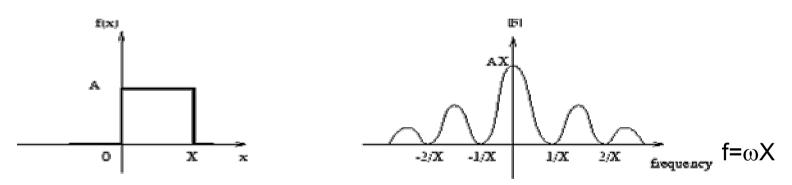
### MTF Example-2



#### $\pi\omega X$

# **Detector MTF:Sinc Function**

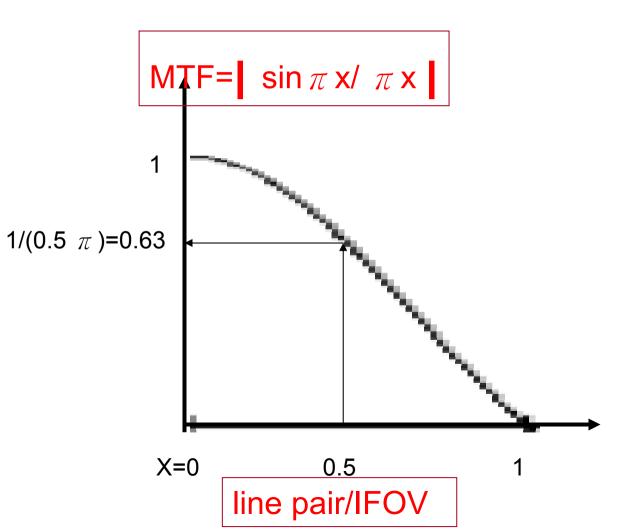
 Convolution of A Square Detector's and a Sine Scene is Detector's MTF

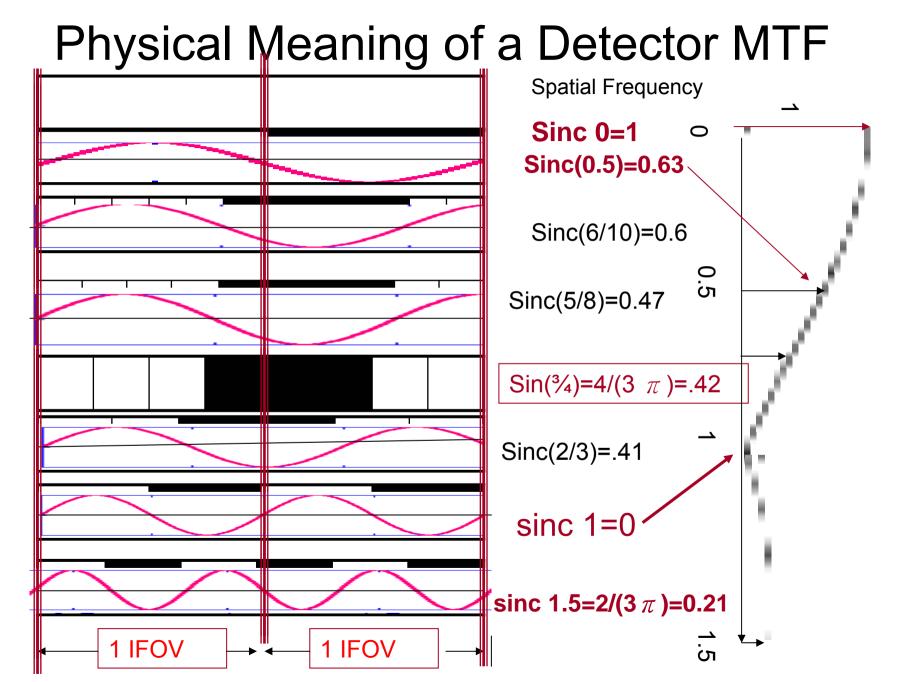


X: I "IFOV"

 $\sin(\pi \alpha X)$ MTF = A

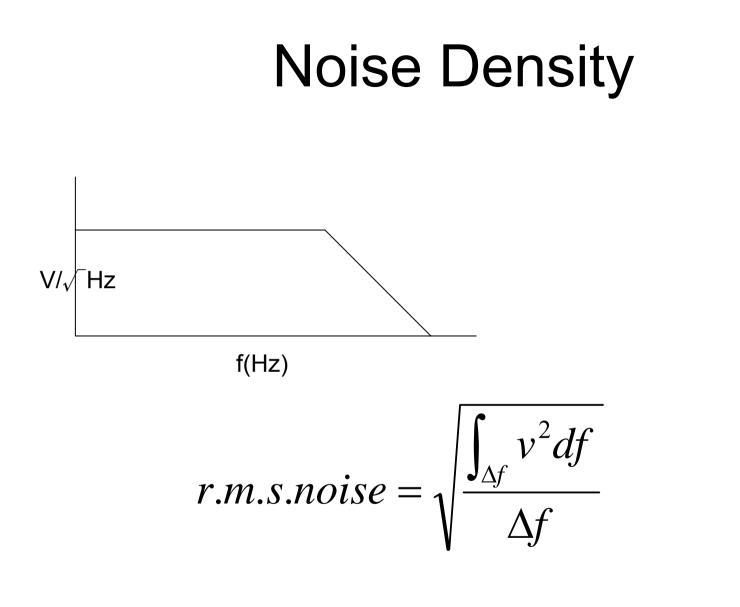
#### Sinc Function: sinc x=(sin $\pi$ x)/ $\pi$ x



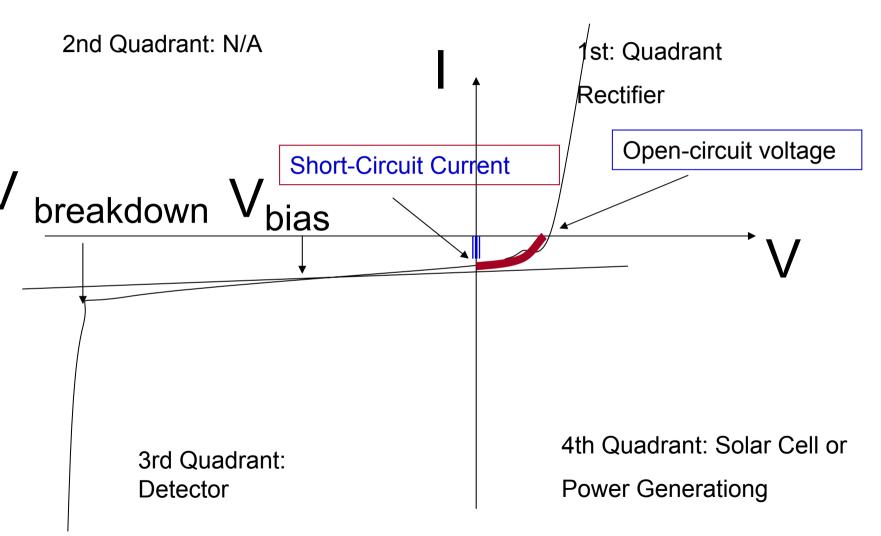


## Total MTF

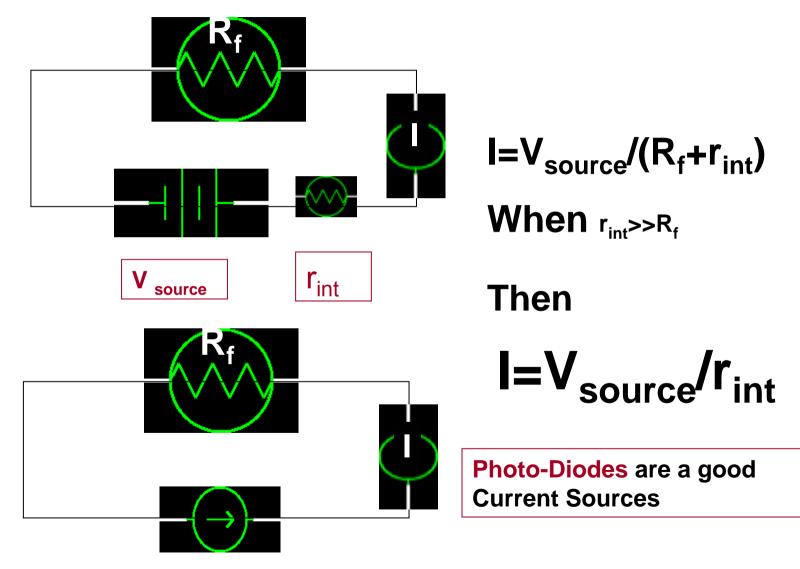
- The Total MTF is the Product of:
- $MTF_{total} = MTF_{optical} \cdot MTF_{det}$
- (If scanning is involved, another MTF electronics would be included as well)
- In the Actual Imaging Space, it means
- "Convolution" of both Optical Blur and the Detector with a Pure Tone Sine wave



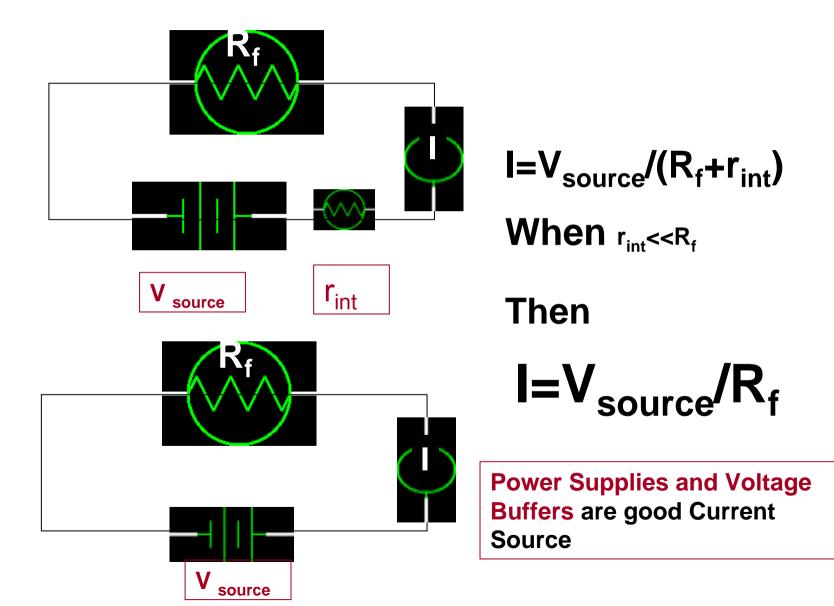
# An Ideal Diode Curve



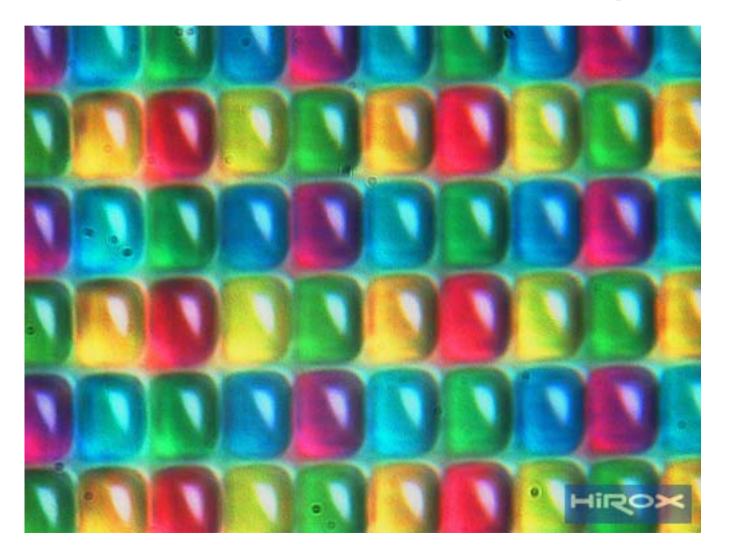
An Ideal Current Source has "Infinite Output Impedance"



#### An Ideal Voltage Source has "Infinitesimal Output Impedance"

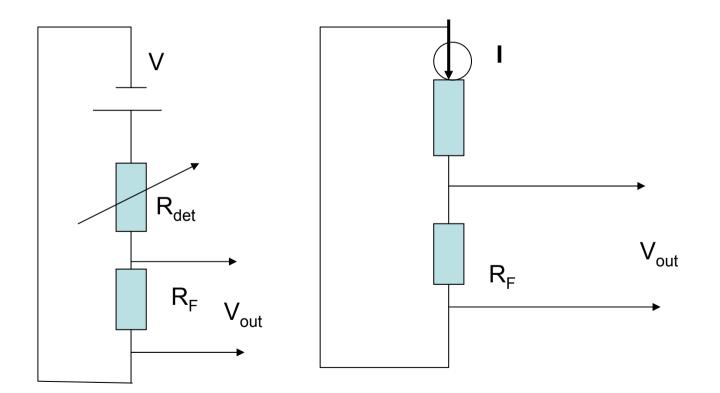


## Video CCD Close-up



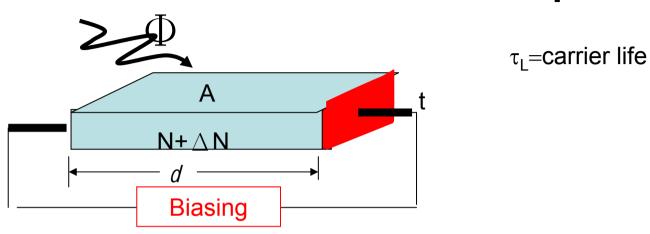
## Simple PC Detector Biasing

Voltage Biasing



#### Semi-Conductor P and N types N-Type **Conduction Band Conduction Band** Acceptor evel onor (Group III) Valence Band evel Group V) Group III: Valence Band B, Al, Ga, In Group V: Depletion Region or P,As, Sb Space Charge region

### **PC Detector Principle**



Photon-induced Charges  $\Delta N = \eta \cdot \Phi \tau_L / (A \cdot t)$ Conductivity  $\Delta \sigma = q \cdot \Delta N \cdot (\mu_e + \mu_h) \sim \Delta N \sim \Phi$   $R_{det} = 1 / (\sigma \cdot A)$   $\Delta R_{det} = -1 / (\sigma^2 \cdot A) \cdot \Delta \sigma = -(R_{det} / \sigma) \cdot \Delta \sigma \sim \sim \Phi$  $R_{PC} (A/W_1 = \eta (q/h \nu))G$ 

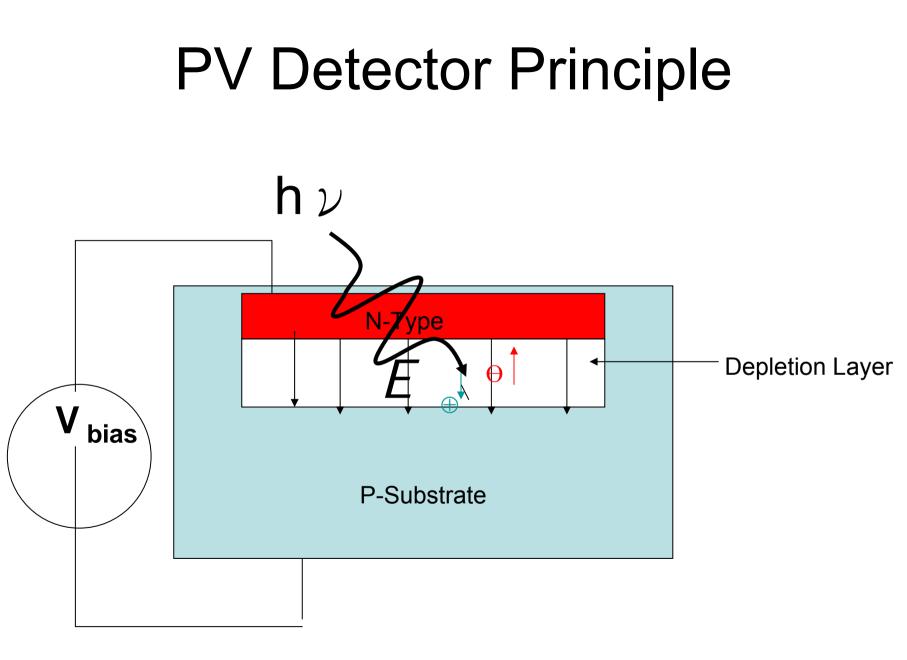
## PC Detector Responsibility

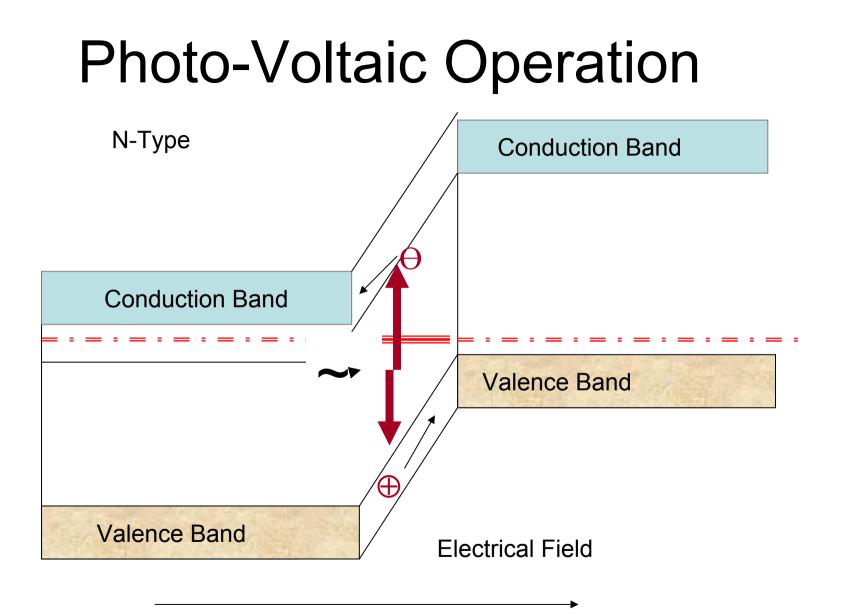
RPC(A/W)= η (q/h ν )G=0.8 η • λ • G

- G: photo-conductive gain = $\tau \cdot \mu \cdot E/d$
- Where  $\mu$ = ( $\mu_e$  +  $\mu_h$ )

d=inter-electrode spacing

G can be greater than unity; a blessing and a curse!





#### **Diode Schematics**

